



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No. 6,909,190 B2

Application of: Uglow et al.

Application No: 09/788,105

Filed: February 16, 2001

For: DUAL DAMASCENE DIELECTRIC  
STRUCTURES

Issued: June 21, 2005

Docket No: LAM1P106D

Date: March 21, 2006

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on March 21, 2006.

Signed:

Melinda M. Ward

REQUEST UNDER 37 CFR 3.81(b) FOR CORRECTION OF PATENT  
TO STATE NAMES OF ASSIGNEES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
**MAR 27 2006**  
**of Correction**

Dear Sir:

Under 37 CFR 3.81(b), this is a request for correction of Patent Number 6,909,190 B2, to add Novellus Systems, Inc. as another Assignee.

Attached are Form PTO-1050 (Certificate of Correction) in duplicate, one copy of which is suitable for printing, and a copy of the first page of the subject Patent. The exact page and line number where the errors are shown in the application file are as follows:

Page 1, Item 73, add –Novellus Systems, Inc., San Jose, California – after “Lam Research Corporation, Fremont, California”

Attorney Docket No. LAM1P106.D

U. S. Patent No. 6,909,190 B2

03/23/2006 YPOLITE1 00000067 6909190

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**MAR 22 2006**

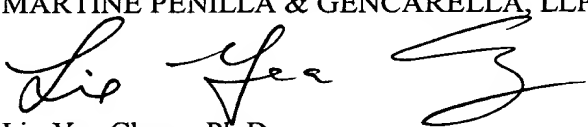
Also attached are copies of the Notice of Recordation of Assignment Document and a copy of the Corrected Assignment Recordation Cover Sheet. Please note in the Notice of Recordation of Assignment Document for the parent case, dated March 15, 2006, page 2, lines 4-12 shows the Assignees as -- Lam Research Corporation, Fremont, California and Novellus Systems, Inc., San Jose, California --.

The fee set forth in Section 1.20(a) is enclosed. A check in the amount of \$100.00 is enclosed therewith in accordance with 37 CFR 1.20(a).

Please send the Certificate of Correction to:

**Customer Number 25920**  
Lie-Yea Cheng, Ph.D.  
MARTINE PENILLA & GENCARELLA, LLP  
710 Lakeway Drive, Suite 200  
Sunnyvale, CA 94085

The Commissioner is authorized to charge any additional fees that may be due to Deposit Account 50-0805 (Order No. LAM2P106D). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,  
MARTINE PENILLA & GENCARELLA, LLP  
  
Lie-Yea Cheng, Ph.D.  
Reg. No. 52,732

**Customer Number 25920**  
710 Lakeway Drive, Suite 200  
Sunnyvale, CA 94085  
Telephone: (408) 774-6924

Attorney Docket No. LAM1P106.D

U. S. Patent No. 6,909,190 B2

MAR 28 2006

# UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

PATENT NO. : 6,909,190 B2

DATED : June 21, 2005

INVENTOR(S) : Uglow et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Page 1, Item (73), is corrected to read: --Lam Research Corporation, Fremont, California; and Novellus Systems, Incorporated, San Jose, California--

MAILING ADDRESS OF SENDER (Please do not use customer number

PATENT NO. 6,909,190 B2

No. of additional copies



# UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

PATENT NO. : 6,909,190 B2

DATED : June 21, 2005

INVENTOR(S) : Uglow et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Page 1, Item (73), is corrected to read: --Lam Research Corporation, Fremont, California; and Novellus Systems, Incorporated, San Jose, California--

MAILING ADDRESS OF SENDER (Please do not use customer number

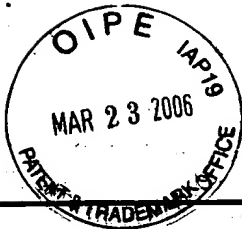
PATENT NO. 6,909,190 B2

No. of additional copies



P15/REV03

MAR 28 2006



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 15, 2006

PTAS

**\*700247947A\***

**\*700247947A\***

LIE-YEA CHENG  
MARTINE PENILLA & GENCARELLA, LLP  
710 LAKEWAY DRIVE, SUITE 200  
SUNNYVALE, CA 94085

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 11/03/2005

REEL/FRAME: 017306/0515  
NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE ASSIGNEE NAME, PREVIOUSLY  
RECORDED ON REEL 010204 FRAME 0461.

DOCKET NUMBER: LAM1P106

ASSIGNOR:

UGLOW, JAY E.

DOC DATE: 07/26/1999

ASSIGNOR:

BRIGHT, NICOLAS J.

DOC DATE: 08/02/1999

ASSIGNOR:

HEMKER, DAVE J.

DOC DATE: 07/26/1999

ASSIGNOR:

MACWILLIAMS, KENNETH P.

DOC DATE: 08/03/1999

ASSIGNOR:

BENZING, JEFFREY C.

DOC DATE: 08/02/1999

ASSIGNOR:

ARCHER, TIMOTHY M.

DOC DATE: 08/24/1999

ASSIGNEE:

LAM RESEARCH CORPORAITON  
4650 CUSING PARKWAY  
FREMONT, CALIFORNIA 94538

ASSIGNEE:

NOVELLUS SYSTEMS, INC.  
4000 NORTH FIRST STREET  
SAN JOSE, CALIFORNIA 95134

SERIAL NUMBER: 09346156

FILING DATE: 06/30/1999

PATENT NUMBER: 6251770

ISSUE DATE: 06/26/2001

TITLE: DUAL-DAMASCENE DIELECTRIC STRUCTURES AND METHODS FOR MAKING THE SAME

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

03/03/2006  
700247947

**ASSIGNMENT RECORDATION COVER SHEET**  
**-PATENTS ONLY-**  
**RESPONSE TO NOTICE OF NON-RECORDATION OF DOCUMENT ID # 103114369**

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original documents or copy thereof.

1. Name of conveying parties:
- |                      |                           |
|----------------------|---------------------------|
| a) Jay E. Uglow      | d) Kenneth P. MacWilliams |
| b) Nicolas J. Bright | e) Jeffrey C. Benzing     |
| c) Dave J. Hamker    | f) Timothy M. Archer      |

2. Name and address of receiving parties:
- a) Lam Research Corporation  
4650 Cushing Parkway, Fremont, CA 94538  
AND
- b) Novellus Systems, Inc.  
4000 North First Street, San Jose, California 95134

3. Nature of conveyance
- |   |  |
|---|--|
| <input type="checkbox"/> Assignment                             | <input type="checkbox"/> Merger            |
| <input type="checkbox"/> Security Agreement                     | <input type="checkbox"/> Change of Name    |
| <input checked="" type="checkbox"/> Other Re: Record to correct | <input type="checkbox"/> License Agreement |
- receiving party for non-recordable letter. Reel No. 010204 Frame No. 0461

Execution Dates: July 26, 1999, August 2, 1999, July 26, 1999, August 3, 1999, August 2, 1999, and August 24, 1999, respectively.

4. Application Number or Patent Number: 09/346,156 filed June 30, 1999

The title of the application is: DUAL-DAMASCENE DIELECTRIC STRUCTURES AND METHODS FOR MAKING THE SAME

5. Please send all correspondence concerning this document to:

Lie-Yea Cheng  
MARTINE PENILLA & GENCARELLA, LLP  
710 Lakeway Drive, Suite 200  
Sunnyvale, CA 94085  
Tel. No. (408) 749-6924  
Fax No.: (408) 749-6901

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed  
☐ Authorized to be charged to Deposit Account No. 50-0805  
(Order No. LAM1P106)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: March 3, 2006

  
Lie-Yea Cheng  
Registration No. 52,732

Attorney Docket No. LAM1P106

(Rev. 01/98)